



Institute of Internal Auditors  
UK AND IRELAND

# The time is now

## IIA Annual Conference 2010

Discover how internal auditors are  
seizing the moment.

Lead sponsor

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Risk & Business Consulting.  
Internal Audit.

**13-14 October 2010**

One Wimpole Street, London W1G 0AE

“Regulation alone cannot guarantee the right ethical behaviour within boards. So, internal auditors have an important role to play in promoting a culture of openness and enquiry, which encourages the appropriate challenge. In this way, internal audit is a key component of good governance.”

Stephen Haddrill, Chief Executive, Financial Reporting Council

## The time is now



Join us in London to debate and explore the latest issues and best practices in internal audit. The 2010 annual conference from the IIA – UK and Ireland is the ideal opportunity for all internal auditors to come together, network, share knowledge and make new contacts to help solve your workplace challenges.

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# The time is now

## The new governance landscape for internal auditors

day 1 am

The financial crisis has impacted the global economy on a scale not seen since the Great Depression. It has led to the failure of economies, key businesses and a prolonged period of slow growth. As a result, corporate governance has received tremendous attention both in terms of regulation and public interest.

So what has been the impact and how can internal audit shift its focus to a more strategic role, combined with tone at the top support from management and the audit committee?

WEDNESDAY 13 OCTOBER 2010

**08.30-09.30 Registration and exhibition visit**

**09.30-09.40 AM Chair's welcome and introduction**

**Alex Brummer**

*City Editor  
Daily Mail*

**09.40-10.00 Shaping the profession's future**

- ▲ Meeting our stakeholders' expectations
- ▲ Engaging with business leaders across all sectors to understand their needs and ensure our relevance
- ▲ Developing the profession and communicating its value

**Dr Ian Peters**

*Chief Executive  
IIA – UK and Ireland*

**10.00-10.20 Lessons from a crisis**

- ▲ Was there a governance crisis?
- ▲ Does regulation need to change?
- ▲ What are the lessons for all organisations?
- ▲ Is internal audit meeting the growing expectations of the regulator in supporting effective governance?

**Stephen Hadrill**

*Chief Executive  
Financial Reporting Council*

**10.20-10.40 Why effective governance is so important**

- ▲ Governance since the financial crisis
- ▲ Governance in running UK PLC
- ▲ Can we agree on what good governance looks like?
- ▲ The critical role played by non exec directors and the importance of an effective board
- ▲ Does internal audit provide sufficient assurance to the board?

**Helen Alexander**

*CBI President*

**10.40-11.10 Panel discussion chaired by Alex Brummer**

**11.10-11.40 Break and exhibition visit**

**11.40-12.20 Steps to recovery**

- ▲ Understanding what went wrong at RBS and how it is being fixed
- ▲ What has been done to strengthen and improve governance structures and framework
- ▲ How internal audit has redefined its role within RBS
- ▲ Are there learning messages for all organisations?

**Kevin Simons**

*Head of Group Audit  
Royal Bank of Scotland*

**12.20-13.00 Does the direction of governance change in the public sector?**

- ▲ Is corporate governance an issue in the public sector?
- ▲ How good governance can translate into demonstrable efficiencies and effectiveness
- ▲ The need for public approval and trust
- ▲ Case study

**Paul Manning**

*Head of Internal Audit  
Department for International  
Development*

# Giving assurance on the IT environment

day 1 pm

Information technology is strategically important to the future success of the business. The rise of IT risks related to the use of IT security and projects is increasingly on the board's agenda. Is the demand for IT assurance being met by internal auditors today?

WEDNESDAY 13 OCTOBER 2010

13.00-14.15 Lunch and exhibition visit

14.15-14.20 PM Chair's – welcome and introduction

**Liz Sandwith**

*Head of Internal Audit  
Five*

14.20-14.50 **The critical link between governance and current technology threats**

**Jonathan Wyatt**

*Managing Director  
Protiviti  
Risk and Business Consulting*

- ▲ Understanding the strategic importance of technology to your organisation's future
- ▲ The essential ingredients in creating successful IT governance
- ▲ Why IT governance matters, whatever the size of your organisation

14.50-15.20 **How we can effectively audit IT risk?**

**Rui Bastos**

*Head of Audit, IT  
Corporate, Audit Operations,  
Shell International*

- ▲ Why all auditors need to put IT in their audit universe
- ▲ Understanding the integration of IT applications with general business processes
- ▲ IT risks and vulnerabilities that you should have on your radar
- ▲ Quick wins for all internal auditors providing assurance on key IT risks and controls

15.20-15.45 **Break and exhibition visit**

15.45-16.30 **Facilitated syndicate group discussions by organisation IT audit skills**

16.30-17.05 **Fraud and cyber crime – what it is, what it means and how it could threaten every organisation**

**Deputy Assistant Commissioner  
Janet Williams**

*Head of Protection, Security and  
Specialist Operations Olympic  
Planning, Specialist Operations  
Metropolitan Police*

- ▲ Why combating financial crime is an important priority for all stakeholders in a time of economic uncertainty
- ▲ How fraud and cyber crime is a major threat to every type of organisation
- ▲ What are the main issues to consider?
- ▲ The critical importance of understanding antifraud measures
- ▲ Performing in depth reviews in areas of high exposure
- ▲ Case studies/real life examples

17.05-17.15 **Chair's summary and close**

# The time is now

## Internal audit – thriving on change

day 2

Many organisations have gone through or are going through significant periods of change. How this is managed could have an impact on the future success and viability of an organisation. Internal audit has a valuable role to play both as a participant in all key projects and to provide assurance of the adequacy of project management and project risks controls and deliverables.

THURSDAY 14 OCTOBER 2010

08.30-09.30	<b>Registration and exhibition</b>	
09.30-09.50	<b>President's welcome and address</b>	<b>Melvyn Neate</b> <i>President Designate IIA – UK and Ireland</i>
09.50-10.00	<b>Chair's welcome and introduction</b>	<b>David Law</b> <i>Chief Risk Officer, Head of Risk and Audit, Olympic Delivery Authority</i>
10.00-10.40	<b>Thriving on change – the executive perspective</b>	<b>Geoff Cooper</b> <i>Group Chief Executive Travis Perkins plc</i>
	▲ The nature of change in organisations	
	▲ From vision to reality	
	▲ Overcoming barriers and obstacles	
	▲ Completion and a new beginning	
	▲ Keeping up the momentum and getting results	
10.40-11.20	<b>Anatomy of a successful project</b>	<b>Matthew Spratt</b> <i>Director Barclays Internal Audit</i>
	▲ What makes a good project	
	▲ Learning from previous change	
	▲ Auditing the risk to successful project delivery	
	▲ Monitoring project performance	
	▲ The critical role of internal audit pre and post projects	
11.20-11.50	<b>Break and exhibition visit</b>	
11.50-12.30	<b>What does internal audit need to do to provide adequate assurance over change and project management?</b>	<b>Mark Stock</b> <i>Group Audit Director Vodafone Group</i>
	▲ Active involvement in project teams	
	▲ Provide assurance over the adequacy of project management, planning and control	
	▲ Audit involvement at key stages of the project	
	▲ Controls review of delivered system or change	
12.30-13.45	<b>Lunch and exhibition visit</b>	
13.45-14.50	<b>Facilitated syndicate group discussions by size of internal audit department</b>	
14.50-15.30	<b>Working efficiently – how to do more with less</b>	<b>Paul Kaczmar</b> <i>Head of Operational Audit Electrocomponents plc</i>
	▲ Transforming your internal audit function to increase strategic value	
	▲ Using technology and automation to deliver more efficiency	
	▲ Rigour and quality in your audit programme	
	▲ Managing an internal audit function in the emerging technology culture	
	▲ Focusing on what really matters	
15.30-16.30	<b>Valuing the best of what we do</b>	<b>MaST and MaST Theatre and Film</b>
	▲ Staying human during difficult times	
	▲ Valuing ourselves and those around us	
	▲ Finding behaviours that achieves more with less	
	▲ Looking forward!	
16.30-16.40	<b>Chair's summary and close of conference</b>	

# Conference Information



## How to book

To register your place on either one or two days' of the conference, please complete the booking form and return it to Training by fax on +44 (0)20 7978 2492 or by post. Alternatively you can register online at [www.iaa.org.uk](http://www.iaa.org.uk)

Once your completed form has been received, we will send written confirmation of your place along with full event and venue details and an invoice for conference fees within five working days. If you are in any doubt as to whether your form has been received, please telephone Training on + 44 (0)20 7498 0101.

## Payment

Payment of conference fees should be received before the start of the event or within 30 days of invoice date, whichever is sooner. For delegates outside the EU, full payment must accompany the booking before any documentation will be issued.

*We accept the following payment methods:*

- Cheques made payable to "IIA – UK and Ireland Ltd"
- Invoice upon request
- Credit card

If your company requires a purchase order number to be stated on the invoice for payment, please quote it on the booking form. Cheque payments should be sent to the address below with a copy of your booking form.

## Terms and conditions

Cancellation must be made in writing to the IIA. Those cancelling up to 24 September 2010 will incur no charge, however the fee will remain payable in full if the delegate is unable to attend without giving notice, or cancels after 24 September 2010. If you have to nominate a substitute to attend this event in your place, please notify the IIA in writing as soon as possible.

## Venue

One Wimpole Street; the home of the Royal Society of Medicine is a stylish and modern conference centre. It has some of the most advanced and unique meeting spaces, making it an ideal choice to hold high profile events. The auditorium offers state-of-the-art audio-visual equipment, air-conditioning and the atrium is an impressive area for delegates to lunch and network. As well as the prestige of being the home of the Royal Society of Medicine, One Wimpole Street boasts the advantage of its central London location, situated near Cavendish Square and just a five-minute walk away from Oxford Street and Bond Street underground stations. [www.onewimpolestreet.co.uk](http://www.onewimpolestreet.co.uk)

## Special requirements

If you have a disability or a medical condition that requires special arrangements to be made, please state your requirements on the booking form. Every effort will be made by the IIA to accommodate special requirements that have been notified.

## Booking form:

Please fill in the enclosed booking form sheet and faxback to us on 020 7978 2492.

[www.iaa.org.uk](http://www.iaa.org.uk)

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